

Audit report

Management systems certification



1. Company information

Company name	POTENS PERFORACIJA D.O.O.		
Address	Bakionička 14, 31210 Požega, Serbia		
Other audited sites	see audit report		
Company representative	Borko Pavlović	E-mail	borko.pavlovic@potensperforacija.com
Scope of certification	Manufacture of perforated sheet, steel gratings. Manufacture and installation of spare parts for thermos-power plants, processing industry, light and heavy steel structures, steel elements for railways		

2. Audit information

Audit date	Stage 1:	Stage 2: 15.01.2026.	until: 16.01.2026.	Remote: no	
Audit method: Integrated audit	No. of employees: 119	No. of employees in scope: 45		Audit duration: 64h	
Audit standard(s)	Standard 1:	Standard 2:	Standard 3:	Standard 4:	Standard 5:
	ISO 9001:2015	ISO 14001:2015	ISO 45001:2018	ISO 50001:2018	Select
Audit type per standard	Audit type: Re-certification	Audit type: Re-certification	Audit type: Re-certification	Audit type: Re-certification	Audit type: Select
Registration no.	20100203006849	20104203006847	20116203006848	TA270203006846	
Scope	<input type="checkbox"/> No changes <input checked="" type="checkbox"/> Adjustment required	EAC scope: 17, 28	NACE code (only for EMAS):	Category (ISO 22003/ISO 13485): Industry - heavy	
Lead Auditor	Auditor		Auditor		
Marjan Urekar	Zlatko Kavazović		Marko Spasojević Živko Đekić		

3. Audit objectives

The audit objectives are the following:

1. Determination of the conformity of the client's management system, or parts of it, with audit criteria within the scope of the Management System.
2. Determination of the ability of the management system to ensure the client organization meets applicable statutory, regulatory, and contractual requirements.
3. Determination of the effectiveness of the management system to ensure the client organization can reasonably expect to achieve its specified objectives.
4. As applicable, identification of areas for potential improvement of the management system.
5. Review of any management system's changes.
6. Validation that the management system was effectively applied in the previous period (valid for surveillance or recertification audits) and to verify its readiness for the coming period.

The audit was carried out according to the relevant applicable procedure for Management Systems Certification and the relevant Regulation for Certification of TÜV AUSTRIA. The basic information documenting the results of the audit are included into this report, and in total, into the audit questionnaire, the copies of documents and other evidence obtained during the audit.

*) RATING of audit findings:

- 1: Fully compliant
 - 2: Opportunity for improvement
 - 3: Minor nonconformity: Effectiveness of client's corrective action is reviewed during the next audit
 - 4: Major nonconformity: Correction through submission of documents
 - 5: Major nonconformity: Correction through Re-audit
- NA: Not applicable or/and excluded

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4. Detailed result of the audit

Result of Audit stage 1	Statement on the summary result of stage 1 - please select
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Requirement	Standard 1:	Standard 2:	Standard 3:	Standard 4:	Standard 5:
	Select	Select	Select	Select	Select
A. Management system (Justification of any exclusion for ISO 9001)					
B. Management review					
C. Internal audit					
D. Legislative Requirements (License of Operation, Authorizations etc.)					
E. Infrastructure, basic requirements, HACCP/oPRP plans					
F. Miscellaneous					

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LIST OF DEVIATIONS FOR STAGE 1				Time allowed to close deviations until maximum 6 months after the completion of stage 1		
No.	Description of finding	Relevant standard	Clause of the standard	Corrective action	Correction evidence	Rating of corrective action

				Completion of corrective actions	
Place, date:		Place, date:		Place, date:	
Lead auditor	Company representative	Company representative	Lead auditor		
(Signature)	(Signature)	(Signature)	(Signature)		
(Name)	(Name)	(Name)	(Name)		

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Audit conclusions	Audited	Rating *)
Mandatory requirements		
Compliance to all the requirements of the relevant management system standard (or other normative document related to the management system)	☒	1
Conclusion / Comment: All requests are in accordance with the reference documented information		
Monitoring of the performance, measurement, reports, and reviews in comparison to the main goals and objectives (related to the expected outcomes that are resulted from the requirements of the applicable management systems standards)	☒	1
Conclusion / Comment: Performance monitoring, measurement, reports and reviews are carried out in accordance with the objectives set by the organization, in accordance with the requirements of the relevant standards. The organization plans its goals and analyzes risks.		
Management system performance in relation to statutory / regulatory / contractual requirements	☒	1
Conclusion / Comment: Management of IMS is performed in accordance with the law/regulations/contractual requirements. A review by the top management of the organization is carried out once a year, as well as the audits of the functioning of the management system.		
Management system performance in relation to include climate change in their analysis of the business context (section 4.1), as well as the question of whether interested parties have requirements related to climate change (section 4.2)	☒	1
Conclusion / Comment: Climate change: The organization has reviewed the IMS Rules and documented information and has incorporated climate change. The organization has installed solar panels on the production hall to generate electricity from renewable sources, reducing electricity consumption by 23%. Interested parties have so far not expressed any interest regarding climate change.		
Monitoring of processes	☒	1
Conclusion / Comment: Process monitoring and analysis are carried out continuously in accordance with the requirements of the standard.		
Internal audit and management review	☒	1

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Conclusion / Comment: Internal audits and management reviews are carried out once a year in accordance with the documented information of IMS.

Upper management responsibility for the stated policies



1

Conclusion / Comment: IMS policies is a public document adopted by top management. The adopted policy has its own mission and business vision, which all employees in the organization respect and adhere to.

Management system effectiveness



1

Conclusion / Comment: The management system is fully effective.

The corrective actions from the previous audit (action list) were reviewed and their effectiveness was verified



N/A

Conclusion / Comment: No non-conformities were observed during the audit and no corrective actions were initiated.

Complaint management and handling



1

Conclusion / Comment: Management and handling of complaints has been established, but there were no complaints in the previous period.

Changes review



1

Conclusion / Comment: There are no significant changes that the Auditor could point out.

Use of the logo and/or any reference to the certification



1

Conclusion / Comment: The use of the logo and/or any reference on the certificate is in accordance with the requirements and CB rules

Environmental specific requirements according to ISO 14001

Layout and site adequacy

- a) Production facilities are of solid construction and suitable for carrying out the production process.
- b) There are no sources of pollution, either from the external environment or from within the facility, that could affect soil or air contamination.
- c) Facilities for storing finished products and raw/reproduction materials are suitable for their intended purpose.
- d) Waste is collected separately, identified, and disposed of properly, and does not cause soil contamination.

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Environmental aspects (i.e., wastewater, air pollution, energy consumption etc.)

Identification of environmental aspects and impacts is carried out in accordance with PR-16 Environmental Management, updated on 05.01.2026, and the Environmental Aspects and Impacts Register PR-16.01, updated on 05.01.2026. Each work process defines the aspects and their significance for the environment in accordance with legal regulations.

The organization conducts annual testing in an accredited laboratory for ambient air quality in accordance with the Regulation on Conditions for Monitoring and Air Quality Requirements ("Official Gazette of the Republic of Serbia," Nos. 11/2010, 75/2010, and 63/2013). The last testing was conducted on 09.02.2023, Report No. 034, by the accredited laboratory Institut Alfa Preming d.o.o. (accreditation license No. 16402-000079/2019-01). It was determined that all measured values are within permissible limits.

The administrative building is insulated, and the windows are well-sealed, ensuring optimal energy consumption.

In accordance with the Waste Management Law, the organization is not required to report generated waste, as it does not produce hazardous waste or large quantities of waste in its operations.

Storage, transport and dangerous goods, special working materials

Used facilities:

1. The organization does not use hazardous substances in the production process.
2. Materials for anticorrosion protection are stored in a warehouse designated for that purpose.
3. Cleaning chemicals warehouse – separate, secure storage area.

Production area(s)

N/A

Emergency precautions (fire and explosion protection, media leakage, etc.)

Emergency procedure: In emergency situations, actions are carried out in accordance with the Procedure for Emergency Management PR-13 Emergencies, updated on 05.01.2026, approved by the director and created by the IMS manager.

The Emergency Response Plan PR-13.04, updated on 05.01.2026, and the Emergency Response Instructions are posted in the facility along with important phone numbers.

By director's decision on 01.09.2025, Saška Sekulić Pušica is the person responsible for emergencies.

No emergency situations have occurred.

Construction site(s)

a) Waste is collected separately, identified, and properly disposed of, and does not cause soil contamination.

EMAS requirements

Due to the nature of its work, the organization does not require special permits from the Ministry of Environmental Protection. The organization has established:

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1. The methodology for identifying environmental aspects and impacts, as well as assessment, preventive control, and EMS action plan measures, is in accordance with the requirements of clause 6.1 of the ISO 14001 standard and Procedure PR-16 Environmental , updated on 05.01.2026.
2. Compliance evaluation is carried out in accordance with record PR-16.01, Environmental Aspects and Impacts Register, updated on 05.01.2026, and in accordance with the established Monitoring, Measurement, and Environmental Performance Analysis Plan PR-16.02, updated on 05.01.2026, in line with the requirements of clauses 9.2 and 9.3 of ISO 14001 .
3. Nonconformity control is conducted in accordance with the requirements of clause 10.1 of ISO 14001 and Procedure PR-04 Nonconformities, updated on 05.01.2026.
4. External communication (suppliers, customers, public authorities, etc.) and internal communication are carried out in accordance with the requirements of clauses 7.4.1, 7.4.2, and 7.4.3 of ISO 14001 and Procedure PR-12 Communications, updated on 05.01.2026.
5. Procedures for emergency situations and incidents with indirect impact on the EMS are established in accordance with clause 8.2 of ISO 14001 and Procedure PR-13 Emergencies, updated on 05.01.2026.

Occupational health and safety specific requirements according to ISO 45001

Risk identification and assessment

Hazard identification and risk assessment are defined by Procedure PR-13 Emergencies, updated on 05.01.2025. The methodology and criteria for OH&S risk assessment follow the PR-06.02 risk analysis of 05.01.2026. The Risk Assessment Act for all workplaces was prepared by the authorized organization BNV Prevent d.o.o., Belgrade, No. 156 of 18.05.2023. The latest updates were carried out on 18.09.2024.

Preventive measures

The organization has implemented and applies the Procedure for Emergency Preparedness and Response PR-13 , updated on 05.01.2026. The organization has prepared Response Plans for potential emergency situations. Annual drills and training for emergency response are conducted. The last fire-fighting drill was held on 07.10.2025, with the participation of all employees.

Accidents and incidents

Incident investigation is conducted in accordance with record PR-14.01 Incident Investigation Report, updated on 05.01.2026. Incidents are recorded in PR-13.02 Accident and Incident List, which is regularly updated. The latest update was on 05.01.2026. No incidents have been registered.

Precautions for emergency preparedness

The organization has developed emergency response plans, which all employees are familiar with. The response plans are regularly updated, with the latest update on 05.01.2026. Emergency response instructions are posted in all facilities along with important phone numbers. Evacuation routes are marked, and panic lighting is installed in the building. Panic lighting is regularly tested, with the last test conducted on 31.10.2025.

Audited project or site: N/A

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Energy management specific requirements according to ISO 50001

Energy evaluation – SEUs

Energy planning and operational energy management, in accordance with clause 6.1 of the ISO 50001 standard, are covered by Procedure PR-21 Energy Management, updated on 05.01.2025.

Energy performance indicators

The organization has established energy planning, energy benchmarks PR-21.01, updated on 05.01.2026, energy performance indicators, and general and specific objectives for 2026. It has created action plans based on which it plans the implementation of energy performance and all further activities to achieve energy efficiency.

Energy baseline

Monitoring, measurement, and analysis of energy performance are carried out in accordance with records updated on 05.01.2026, for identification and evaluation, and options for improving energy performance, and for measurement and analysis of energy performance. The organization's management analyzes the measurement results with the aim of continuously improving energy performance and the Energy Management System (EnMS) during management review meetings dated 14.01.2026..

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LIST OF POSITIVE FINDINGS

No.	Description

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LIST OF FINDINGS / NONCONFORMITIES

No.	Relevant standard	Clause of the standard	Description of finding	Rating *)	Root cause and corrective action	Completion of corrective action until:	Correction evidence for nonconformities	Evaluation/Verification of corrective action

*) Rating: 1 = Fully compliant; 2 = Opportunity for improvement; 3 = Minor nonconformity; 4/5 = Major nonconformity; NA = not applicable or/and excluded

Place, date:		Place, date:		Place, date:
Lead Auditor	Company representative	Company representative	Lead Auditor	Completion of corrective action
(Signature)	(Signature)	(Signature)	(Signature)	
(Name)	(Name)	(Name)	(Name)	

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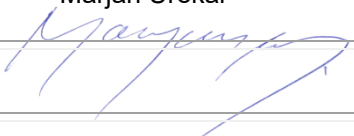

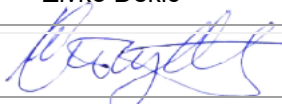



5. Recommendation of the audit team

The certification scope is appropriate	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
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The audit objectives have been fulfilled	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
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Standard(s)	Audit team suggestion				
ISO 9001 ISO 14001 ISO 45001 ISO 50001	<input type="checkbox"/>	Issue of the certificate	AFTER	<input checked="" type="checkbox"/>	no other action
	<input type="checkbox"/>	Maintenance of certificate		<input type="checkbox"/>	Correction of nonconformities with the submission of documents
	<input checked="" type="checkbox"/>	Renewal of certificate		<input type="checkbox"/>	Correction of nonconformities with the Re-audit
	<input type="checkbox"/>	Withdrawal of certificate			

Place, date:	Požega, 16.01.2026.		
Lead auditor	Marjan Urekar	Auditor(s)	Zlatko Kavazović
Signature		Signature	
			Marko Spasojević Živko Đekić  

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Other Information / Disclaimer:

During the validity of the certificate, the certified company is obliged to inform the certification body about relevant changes in the management system and its documentation.

It should be noted that the audit is conducted based on sampling of available information, which may result in nonconformities in addition to those documented during the audit.

The result of the audit does not relieve the audited company of its responsibility for the control of the existing management system and for the maintenance and conformity with the requirements of the standard(s) for which the certificate(s) has/have been issued.

The certification body or the auditor shall under no circumstances replace the control carried out by the competent national authorities.

*) The audit involves assessing the performance of the management system in ensuring that the company fundamentally meets the applicable legal, regulatory, and contractual requirements. This evaluation is based on samples viewed and is not an assessment of compliance with legal requirements. The responsibility for enforcement and assessment of compliance with the relevant laws and regulations remains with the company in all cases.

6. Distribution list

- ✓ Client
- ✓ Certification body of TÜV AUSTRIA
- ✓ Audit team